**Rules of Origin Survey (IIFT)**

**FTA Survey Questionnaire**

**A. Introductory details (Fill and Tick)**

|  |  |  |
| --- | --- | --- |
| Name/ Address of the EOC | HS 6\_Digit Codes Exported (with HS Revision details) | Total Exports in Rs |
|  |  |  |

**B. Utilization of ROOs in FTAs**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| S.No | Question | ASEAN | Japan | Korea | Malaysia | Singapore |
| 1 | Are codes of interest to you covered by ROOs? (Yes/No) |  |  |  |  |  |
| 2 | Have you exported to these countries/ regions in the period after 2014 till now? (Yes/No) |  |  |  |  |  |
| 3 | Have you utilized ROOs in these codes? (Yes/No) |  |  |  |  |  |
| 4 | ROOs were used in what % share of your exports to these regions (<10%, 10-25%, >25%) |  |  |  |  |  |

**C. Impediments to utilization of ROOs (on a scale of 1 to 5)**

| S.No | Question | ASEAN | Japan | Korea | Malaysia | Singapore |
| --- | --- | --- | --- | --- | --- | --- |
| 1 | Do you have enough information about the ROO requirements? (1 = none and 5= complete) |  |  |  |  |  |
| 2 | What are the administrative costs as a % of FOB value associated with documentation / Certificate of Origin for these ROOs? (provide in a scale of 1 to 5. For Example **1**= <1- 2 %, **2** =2-4%, **3** = 4 - 6%, **4** = 6 - 8% and **5** = above 8%) |  |  |  |  |  |
| 3 | What is the usual time frame to obtain certificate of origin? (1= same day, 2= next day, 3= 2-3 days, 4= 4-7 days, 5=> 7 days) |  |  |  |  |  |

**D. Costs of FTAs ( on a scale of 1 to 5 with 1= not at all, 2=very marginally, 3= marginally, 4= significantly and 5= very significantly)**

| S.No | Question | ASEAN | Japan | Korea | Malaysia | Singapore |
| --- | --- | --- | --- | --- | --- | --- |
| 1 | Have the ROOs led to more import competition from these countries? |  |  |  |  |  |
| 2 | Is the documentation for using ROO preferences complex and cumbersome (1= not at all … 5= very significantly) |  |  |  |  |  |
| 3 | Have ROOs led to increased costs of export? |  |  |  |  |  |
| 4 | Is there an increased cost for compliance due to ROOs (1= not at all … 5= very significant) |  |  |  |  |  |

**E. Issues faced by India in implementation of various FTAs along with real time examples.**

**(On a scale of 1 to 5 with 1= not at all, 2=very marginally, 3= marginally, 4= significantly and 5= very significantly)**

| S.No | Question | ASEAN | Japan | Korea | Malaysia | Singapore |
| --- | --- | --- | --- | --- | --- | --- |
| 1 | Is there adequate and complete updated information about ROOs? |  |  |  |  |  |
| 2. | Are the current ROOs in the FTAs adequate for your purpose |  |  |  |  |  |
| 3. | What amendments do you suggest in rules (please answer this question at the level of 6-digit codes. Please call the attached document attached Appendix 1) |  |  |  |  |  |

**F. Other major issues faced in implementation of ROOs (give list)**

|  |  |
| --- | --- |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |

**G. Ways to harmonize preferential and non-preferential rules in FTAs**

|  |  |  |
| --- | --- | --- |
| Are the rules of origin of your product clearly known to you if they do not fall under a FTA |  |  |
|  |  |  |

**H. Additional questions:**

(Kindly refer to the supplementary document titled “*New Terms*” for the definition of the following terminologies)

|  |  |  |
| --- | --- | --- |
| 1. | **Minimal Operations** | Which of the operations can be treated as “minimal operations” without having any revenue implication to your business? |
| 2. | **Tolerance** | Which non-originating materials, having the same tariff classification as the product, should be permitted within the “tolerance” threshold?  What according to you could be the threshold? |
| 3. | **Cumulation** | Would inclusion of the “cumulation” principle be helpful for your products? |